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DATE SCANNED 2-5-11

SCANNER NO. 2

SCAN OPERATOR ______

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December 17, 2010

MEMORANDUM

TO:

THE COMMISSION

THROUGH:

ALEC PALMER

ACTING STAFF DIRECTOR

FROM:

PATRICIA CARMONA - M for PC

CHIEF COMPLIANCE OFFICER

DEBBIE CHACONA

ASSISTANT STAFF DIRECTOR REPORTS ANALYSIS DIVISION

BY:

NUL IW/NKE NATALIYA IOFFE/SARI PICKERĂLL/IAN WANDNER

COMPLIANCE BRANCH

SUBJECT:

REASON TO BELIEVE RECOMMENDATION – 2010 12 DAY PRE-GENERAL REPORT (FLORIDA, OHIO, NORTH CAROLINA,

TENNESSEE, TEXAS, VIRGINIA AND WISCONSIN) FOR THE

ADMINISTRATIVE FINE PROGRAM

Attached is a list of political committees and their treasurers who failed to timely file the 2010 12 Day Pre-General Report for the Florida, Ohio, North Carolina, Tennessee, Texas, Virginia and Wisconsin General Election in accordance with 2 U.S.C. § 434(a). The 12 Day Pre-General Report was due on October 21, 2010, and the General Election was held on November 2, 2010.

The committees listed on the attached RTB Circulation Report failed to file the election sensitive report by the required due date. Election sensitive reports filed more than four days prior to the election are considered late, and reports not filed more than four days prior to the election are considered not filed. In accordance with the schedule of civil money penalties for reports at 11 CFR § 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

- 1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. § 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
- 2. Send the appropriate letters.

Federal Election Commission

Reason to Believe Circulation Report 2010 PRE-GENERAL Election Sensitive 10/21/2010 AUTH (FL, OH, NC, TN, TX, VA, AND WI)

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	RTB Penalty	\$210	\$1,750	\$210	
	LOA	\$19,611	\$38,187 (est)	\$19,839	
	Days Late	9	Not Filed	ω	
	Receipt Days Late	10/27/2010		10/27/2010	
	ΡV	0	1	0	
	Threshold PV	\$108,889	\$190,936	\$971,582	
	Treasurer	KIMBERLEE E. SMITH	CHUCK	RANDY	
•	Candidate Name	RABIDOUX, GREG ROBERT DR	VEREEN, RODERICK D	JOHNSON, EDDIE BERNICE	
	Committee Name	COMMITTEE TO ELECT DR RABIDOUX, GREG ROBERT KIMBERLEE E. GREG RABIDOUX OREG RABIDOUX DR SMITH	COMMITTEE TO ELECT RODERICK VEREEN FOR CONGRESS	EDDIE BERNICE JOHNSON FOR CONGRESS	:
	AF# Committee ID	2255 C00462531	2258 C00462929	2257 C00254573	
	AF#	2255	2256	2257	
					•

\$3,300
\$56,884 (est)
Not Filed
0
\$227,535
RONALD JOSEPH LOPEZ
ANDERSON, JOHN DAVID
JOHN ANDERSON FOR CONGRESS
C00478123
2259

4,

	\$505	
	\$29,246	
1	7	
•	10/28/2010	
	0	
	\$205,206	
	BRENDA SUE	
	WAUGH, RICKARD ELIAN JR	
	RICK WAUGH FOR CONGRESS	
	2262 C00481259	

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Reason To Believe Recommendation - 2010)
12 Day Pre-General Report (Florida, Ohio,)
North Carolina, Tennessee, Texas, Virginia)
and Wisconsin) for the Administrative Fine	j
Program:)
RICK WAUGH FOR CONGRESS, and) AF# 2262
BRENDA SUE HILL as treasurer;	j
COMMITTEE TO ELECT DR GREG) AF# 2255
RABIDOUX, and KIMBERLEE E SMITH	j j
as treasurer;	Ś
COMMITTEE TO ELECT RODERICK) AF# 2256
VEREEN FOR CONGRESS, and CHUCK	j
MOGBO as treasurer;	Ś
EDDE BERNICE JOHNSON FOR) AF# 2257
CONGRESS, and WHITAKER, RANDY)
as treasurer;)
	-
JOHN ANDERSON FOR CONGRESS,) AF# 2259
and RONALD JOSEPH LOPEZ as)
treasurer;)

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CERTIFICATION

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I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election

Commission, do hereby certify that on December 21, 2010 the Commission took the

following actions on the Reason To Believe Recommendation - 2010 12 Day Pre
General Report (Florida, Ohio, North Carolina, Tennessee, Texas, Virginia and

Wisconsen) for the Administrative Fine Program as recommended in the Reports

Analysis Division's Memorandum dated December 17, 2010, on the following

committees:

AF#2262 Decided by a vote of 6-0 to: (1) find reason to believe that RICK WAUGH FOR CONGRESS, and BRENDA SUE HILL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintrand voted affirmatively for the decision.

AF#2255 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT DR GREG RABIDOUX, and KIMBERLEE E SMITH as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2256 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT RODERICK VEREEN FOR CONGRESS, and CHUCK MOGBO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2257 Decided by a vote of 6-0 to: (1) find reason to believe that EDDIE BERNICE JOHNSON FOR CONGRESS, and WHITAKER, RANDY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2259 Decided by a vote of 6-0 to: (1) find reason to believe that JOHN ANDERSON FOR CONGRESS, and RONALD JOSEPH LOPEZ as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Ducable 9, 2010

Shawn Woodhead Werth

Secretary and Clerk of the Commission



December 22, 2010

Ξ

Randy Whitaker, in official capacity as Treasurer Eddie Bernice Johnson for Congress 3102 Maple Avenue, Suite 605 Dallas, TX 75201

C00254573 AF#: 2257

Dear Mr. Whitaker:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a 12 Day Pre-General Report of Receipts and Disbursements in a calendar year during which there is a regularly scheduled election for which the candidate is seeking election, or nomination for election. This report, covering the period through October 13, 2010, shall be filed no later than October 21, 2010. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on October 27, 2010, 6 days late.

The Act was further amended in 1999 to permit the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On December 21, 2010, the FEC found that there is reason to believe ("RTB") that Eddie Bernice Johnson for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. 434(a) by failing to file timely this report on or before October 21, 2010.

Based on the FEC's schedules of civil money penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$210. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.46. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See http://www.fec.gov/af/af.shtml. 11 C.F.R. § 111.34. Your payment of \$210 is due within forty (40) days of the finding, or by January 30, 2011, and is based on these factors:

Election Sensitivity of Report: Election Sensitive

Level of Activity: \$19,839 Number of Days Late: 6

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your

committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or January 30, 2011. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 liours after the end of these reasonably unforeseen circumstances. Id. Examples of circumatunces that will be eensidered reasonably unforeseen and buyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or listemet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit's Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Eddie Bernice Johnson for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including trensfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

;

Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

2. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at http://www.fec.gov/af/af.shtml. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Cynthla L. Baue

Vice Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at RTB is \$210 for the 2010 Pre-General Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by January 30, 2011. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN PRE PORTION BELOW WITH YOUR PAYMENT

FOR: Eddie Bernice Johnson for Congress

FEC ID#: C00254573

AF#: 2257

PAYMENT DUE DATE: January 30, 2011

PAYMENT AMOUNT DUE: \$210



May 4, 2011

MEMORANDUM

TO:

The Commission

THROUGH:

Alec Palmer

Acting Staff Director

FROM:

Patricia Carmona

Chief Compliance Officer

Debbie Chacona Assistant Staff Director

Reports Analysis Division

BY:

Jodi Wittship/Sari Pickerull

Compliance Branch

SUBJECT:

Final Determination Recommendation for the 2010 12 Day Pre-General

Report (Florida, Ohio, North Carolina, Tennessee, Texas, Virginia and

Wisconsin) for the Administrative Fine Program

Attached are two lists of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2010 12 Day Pre-General Report (Florida, Ohio, North Carolina, Tennessee, Texas, Virginia and Wisconsin). The first list represents the committees that have paid the civil money penalty and the second list represents the committees that have not paid the civil money penalty. The committees that have not paid have been given at least forty (40) days from the date of the Commission's RTB finding to remit payment.

In accordance with 11 CFR § 111.34 and 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that have either paid or not paid the civil money penalty.

In addition, one (1) committee detailed below designated a new Treasurer after the RTB finding:

 Rick Waugh for Congress (AF 2262) filed an Amended Statement of Organization after the RTB finding designating Amy Shull as Treasurer (see first list).

RAD Recommendation

(1) Make final determination that the political committees and their treasurers listed on the attached reports violated 2 U.S.C. § 434(a) and assess the final civil money penalties so indicated.

3.

(2) Send the appropriate letters.

Federal Election Commission
FD Circulation Report Fine Paid
2010 PRE-GENERAL Election Sensitive 10/21/2010 AUTH (FL, OH, NC, TN, TX, VA, WI)

Federal Election Commission
FD Circulation Report Fine Not Paid
2010 PRE-GENERAL Election Sensitive 10/21/2010 AUTH (FL, OH, NC, TN, TX, VA, WI)

Penalty		\$3,300	ł
5	-		-
Days Sin RTB		135	
Receipt Date Days Late LOA PV RTB Date RTB Penalty Days Since FD Penalty RTB RTB		\$3,300	
RTB Date	_	12/21/2010	
2		0	
roy	_	\$73,442	
Days Late		Not Filed	
Receipt Date	_	12/28/2010	
Treasurer	-	RONALD JOSEPH LOPEZ	
Committee		C00478123	
Candidate Name		ANDERSON, JOHN DAVID C00478123 RONALD JOSEPH LOPEZ 12/28/2010 Not Filed \$73,442 0 12/21/2010 \$3,300	
Committee Name		JOHN ANDERSON FOR CONGRESS	
AF#		2259	+ A + V ₁

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
Administrative Fines Final Determination)	•
Recommendation for the 12 Day Pre-)	
General Report (FL, OH, NC, TN, TX, VA and WI):)	
COMMITTEE TO ELECT DR GREG)	AF# 2255
RABIDOUX, and KIMBERLEE E SMITH as treasurer;)	
COMMITTEE TO ELECT RODERICK	Ś	AF# 2256
VEREEN FOR CONGRESS, and CHUCK	Ś	
MOGBO as treasurer;	í	
EDDIE BERNICE JOHNSON FOR	Ś	AF# 2257
CONGRESS, and WHITAKER, RANDY	Ś	
as treasurer;	í	i
JOHN ANDERSON FOR CONGRESS,)	AF# 2259
and RONALD JOSEPH LOPEZ as	í	
treasurer;	í	
•		
RICK WAUGH FOR CONGRESS, and)	AF# 2262
SHULL, AMY as treasurer;	Ć	

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election

Commission, do hereby certify that on May 06, 2011 the Commission took the

following actions on the Administrative Fines Final Determination Recommendation

for the 12 Day Pre-General Report (FL, OH, NC, TN, TX, VA and WI), as

recommended in the Reports Analysis Division's Memorandum dated May 04, 2011,

on the following committees:

AF#2255 Decided by a vote of 6-0 to: (1) make a final determination that COMMITTEE TO ELECT DR GREG RABIDOUX, and KIMBERLEE E SMITH as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2256 Decided by a vote of 6-0 to: (1) make a final determination that COMMITTEE TO ELECT RODERICK VEREEN FOR CONGRESS, and CHUCK MOGBO as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2257 Decided by a vote of 6-0 to: (1) make a final determination that EDDIE BERNICE JOHNSON FOR CONGRESS, and WHITAKER, RANDY as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2259 Decided by a vote of 6-0 to: (1) make a final determination that JOHN ANDERSON FOR CONGRESS, and RONALD JOSEPH LOPEZ as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2262 Decided by a vote of 6-0 to: (1) make a final determination that RICK WAUGH FOR CONGRESS, and SHULL, AMY as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Thu 9, 2011

Date

Shawn Woodhead Werth

Secretary and Clerk of the Commission



May 9, 2011

Randy Whitaker, in official capacity as Treasurer Eddie Bernice Johnson for Congress 3102 Maple Avenue, Suite 605 Dallas, TX 75201

C00254573 AF#: 2257

Dear Randy Whitaker:

On December 21, 2010, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Eddie Bernice Johnson for Congress and its treasurer violated 2 U.S.C. § 434(a) for filing late or failing to file the 2010 Pre-General Report. By letter dated December 22, 2010, the Commission sent notification of the RTB finding that included a civil money penalty calculated at the RTB stage of \$210 in accordance with the schedule of penalties at 11 C.F.R. § 111.43.

On January 6, 2011, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on May 6, 2011 that you, in your official capacity as treasurer, and Eddie Bernice Johnson for Congress violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$210 in accordance with 11 C.F.R. § 111.43, and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission.

Cynthia L. Bauerly

Chair

FOR: Eddie Bernice Johnson for Congress

FEC ID#: C00254573

AF#: 2257

PAYMENT DUE DATE: January 30, 2011

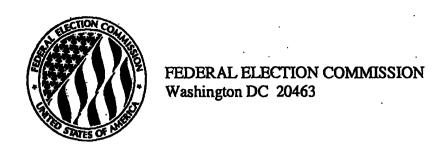
PAYMENT AMOUNT DUE: \$210

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058 SEQ# 001 \$ 0000021000 BA# 1 01-06-11 20 9



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DATE SCANNED 7-5-11

SCANNER NO. 2

SCAN OPERATOR JMP